



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/870,581	05/30/2001	Koki Uchiyama	CA1073

23493  
SUGHRUE MION ZINN MACPEAK & SEAS, PLLC  
1010 EL CAMINO REAL  
MENLO PARK, CA 94025



CONFIRMATION NO. 9236

## FORMALITIES LETTER



OC000000006383028\*

Date Mailed: 08/03/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

---

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



7/17

## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Koki UCHIYAMA

Appln. No.: 09/870,581

Group Art Unit: 2171

Confirmation No.: 9236

Filed: May 30, 2001

For: DISTRIBUTED MONITORING SYSTEM PROVIDING KNOWLEDGE SERVICES

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION FILED UNDER 37 CFR 1.53(B) - FILING DATE GRANTED****ATTN: BOX MISSING PARTS**

Commissioner for Patents

Washington, D.C. 20231

Sir:

In response to the "Notice to File Missing Parts of Nonprovisional Application filed under 37 CFR 1.53(b) - Filing Date Granted," for the above application, Applicant respectfully submits herewith the Declaration for the above identified application properly executed by the inventor. Also enclosed please find a Petition for Extension of Time.

Please charge the statutory fee of \$65.00 to Deposit Account No. 19-4880. Please also charge any underpayment or credit any overpayment to Deposit Account No. 19-4880.

Respectfully submitted,

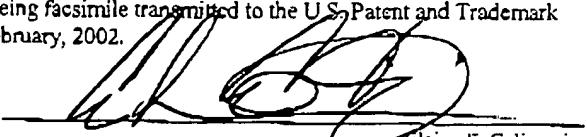
  
 P 51,007  
 Frank L. Bernstein  
 Registration No. 31,484

SUGHRUE MION, PLLC  
 1010 El Camino Real, Suite 360  
 Menlo Park, CA 94025  
 Tel: (650) 325-5800  
 Fax: (650) 325-6606

Date: February 4, 2002

Certificate of Facsimile Transmission

I hereby certify that this RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION FILED UNDER 37 CFR 1.53(B) - FILING DATE GRANTED is being facsimile transmitted to the U.S. Patent and Trademark Office this 4th day of February, 2002.

  
 Elaine E. Calimquim



SUGHRUE MION, PLLC

*Seedorf* 1010 El Camino Real  
Menlo Park, CA 94025-4345  
T 650.325.5800  
F 650.325.6606  
[www.sughrue.com](http://www.sughrue.com)

**FAX**

February 4, 2002

To Initial Patent Examination Division  
 Of U.S. Patent and Trademark Office  
 Fax 703-308-7751  
 From Frank L. Bernstein  
 Subject Response to Notice to File Missing Parts  
 Our Ref CA1073 Your Ref USSN 09/870,581  
 Pages (including cover sheet) *(7)*

---

Please call attention to problems with this transmission by return fax or telephone. Thank you.

THE INFORMATION CONTAINED IN THIS COMMUNICATION IS CONFIDENTIAL, MAY BE ATTORNEY-CLIENT PRIVILEGED, AND IS INTENDED ONLY FOR THE USE OF THE ADDRESSEE. UNAUTHORIZED USE, DISCLOSURE OR COPYING IS STRICTLY PROHIBITED AND MAY BE UNLAWFUL. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE IMMEDIATELY NOTIFY US.

In re application of

Koki UCHIYAMA

Appln. No. 09/870,581

Group Art Unit: 2171

Confirmation No.: 9236

Filed: May 30, 2001

For: DISTRIBUTED MONITORING SYSTEM PROVIDING KNOWLEDGE SERVICES

PAPER(S) FILED ENTITLED:

1. Response to Notice to File Missing Parts of Nonprovisional Application filed under 37 CFR 1.53(b) - Filing Date Granted (in duplicate) with executed Declaration and Power of Attorney, copy of "Notice to File Missing Parts of Nonprovisional Application filed under 37 CFR 1.53(b) - Filing Date Granted"
2. Petition for Extension of Time